

## TENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To

Assistant Commissioner for Patents  
United States Patent and Trademark  
Office  
Box PCT  
Washington, D.C. 20231  
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

<b>Date of mailing</b> (day/month/year) 11 July 2000 (11.07.00)	
<b>International application No.</b> PCT/JP99/06901	<b>Applicant's or agent's file reference</b> YCT-450
<b>International filing date</b> (day/month/year) 09 December 1999 (09.12.99)	<b>Priority date</b> (day/month/year) 10 December 1998 (10.12.98)
<b>Applicant</b> KISO, Yoshinobu et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:  
16 June 2000 (16.06.00)

☐ in a notice effecting later election filed with the International Bureau on:  
\_\_\_\_\_

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

<b>The International Bureau of WIPO</b> 34, chemin des Colombettes 1211 Geneva 20, Switzerland	<b>Authorized officer</b> Diana Nissen
Facsimile No.: (41 22) 740 14 35	Telephone No.: (41 22) 338 83 38

## PCT REQUEST

YCT-450

<b>0</b>	<b>For receiving Office use only</b>	
<b>0-1</b>	International Application No.	
<b>0-2</b>	International Filing Date	09.12.99
<b>0-3</b>	Name of receiving Office and "PCT International Application"	
<b>0-4</b>	<b>Form - PCT/RO/101 PCT Request</b>	
<b>0-4-1</b>	Prepared using	<b>PCT-EASY Version 2.90 (updated 15.10.1000 )</b>
<b>0-5</b>	<b>Petition</b> The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
<b>0-6</b>	<b>Receiving Office (specified by the applicant)</b>	<b>Japanese Patent Office (RO/JP)</b>
<b>0-7</b>	<b>Applicant's or agent's file reference</b>	<b>YCT-450</b>
<b>I</b>	<b>Title of invention</b>	<b>THERAPEUTIC AGENTS FOR HYPERAMMONEMIA</b>
<b>II</b>	<b>Applicant</b>	
II-1	This person is:	<b>applicant only</b>
II-2	Applicant for	<b>all designated States except US</b>
II-4	Name	<b>SUNTORY LIMITED</b>
II-5	Address:	<b>1-40, Dojimahama 2-chome, Kita-ku, Osaka-shi, Osaka 530-8203 Japan</b>
II-6	State of nationality	<b>JP</b>
II-7	State of residence	<b>JP</b>
<b>III-1</b>	<b>Applicant and/or inventor</b>	
III-1-1	This person is:	<b>applicant and inventor</b>
III-1-2	Applicant for	<b>US only</b>
III-1-4	Name (LAST, First)	<b>KISO, Yoshinobu</b>
III-1-5	Address:	<b>8-41, Shinchujo-cho, Ibaraki-shi, Osaka 567-0872 Japan</b>
III-1-6	State of nationality	<b>JP</b>
III-1-7	State of residence	<b>JP</b>

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III-2	<b>Applicant and/or inventor</b>	
III-2-1	This person is:	applicant and inventor
III-2-2	Applicant for	US only
III-2-4	Name (LAST, First)	IINO, Taeko
III-2-5	Address:	3-22-12, Sakamoto, Otsu-shi, Shiga 520-0113 Japan
III-2-6	State of nationality	JP
III-2-7	State of residence	JP
III-3	<b>Applicant and/or inventor</b>	
III-3-1	This person is:	applicant and inventor
III-3-2	Applicant for	US only
III-3-4	Name (LAST, First)	KATO, Shinzo
III-3-5	Address:	3-6-30, Jiyugaoka, Meguro-ku, Tokyo 152-0035 Japan
III-3-6	State of nationality	JP
III-3-7	State of residence	JP
IV-1	<b>Agent or common representative; or address for correspondence</b> The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:	agent
IV-1-1	Name (LAST, First)	SHAMOTO, Ichio
IV-1-2	Address:	YUASA AND HARA Section 206, New Ohtemachi Bldg, 2-1, Ohtemachi 2-chome, Chiyoda-ku, Tokyo 100-0004 Japan
IV-1-3	Telephone No.	03-3270-6641
IV-1-4	Facsimile No.	03-3246-0233
IV-1-5	e-mail	yulawpat@yuasa-hara.co.jp
IV-2	<b>Additional agent(s)</b>	additional agent(s) with same address as first named agent
IV-2-1	Name(s)	IMAI, Shosuke; MASUI, Chuji; KURITA, Tadahiko; KOBAYASHI, Yasushi
V	<b>Designation of States</b>	
V-1	Regional Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	EP: AT BE CH&LI CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE and any other State which is a Contracting State of the European Patent Convention and of the PCT
V-2	National Patent (other kinds of protection or treatment, if any, are specified between parentheses after the designation(s) concerned)	AU CA US

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V-5	<b>Precautionary Designation Statement</b> In addition to the designations made under items V-1, V-2 and V-3, the applicant also makes under Rule 4.9(b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated under item V-6 below. The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit.	
V-6	<b>Exclusion(s) from precautionary designations</b>	NONE
VI-1	<b>Priority claim of earlier national application</b>	10 December 1998 (10.12.1998)
VI-1-1	Filing date	351955/1998
VI-1-2	Number	JP
VI-1-3	Country	
VI-2	<b>Priority document request</b> The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s):	VI-1
VII-1	<b>International Searching Authority Chosen</b>	Japanese Patent Office (JPO) (ISA/JP)
VIII	<b>Check list</b>	number of sheets
VIII-1	Request	5
VIII-2	Description	12
VIII-3	Claims	2
VIII-4	Abstract	1
VIII-5	Drawings	6
VIII-7	TOTAL	26
	<b>Accompanying items</b>	paper document(s) attached
VIII-8	Fee calculation sheet	✓
VIII-16	PCT-EASY diskette	-
VIII-18	<b>Figure of the drawings which should accompany the abstract</b>	electronic file(s) attached
VIII-19	<b>Language of filing of the international application</b>	diskette
IX-1	<b>Signature of applicant or agent</b>	
IX-1-1	Name (LAST, First)	SHAMOTO, Ichio (seal)
IX-2	<b>Signature of applicant or agent</b>	
IX-2-1	Name (LAST, First)	IMAI, Shosuke (seal)
IX-3	<b>Signature of applicant or agent</b>	
IX-3-1	Name (LAST, First)	MASUI, Chuji (seal)

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IX-4	Signature of applicant or agent	
IX-4-1	Name (LAST, First)	<b>KURITA, Tadahiko</b> (seal)
IX-5	Signature of applicant or agent	
IX-5-1	Name (LAST, First)	<b>KOBAYASHI, Yasushi</b> (seal)

## FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	<b>ISA/JP</b>
10-6	Transmittal of search copy delayed until search fee is paid	

## FOR INTERNATIONAL BUREAU USE ONLY

11-1	Date of receipt of the record copy by the International Bureau	
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## VERIFICATION OF A TRANSLATION

I, the below named translator, hereby declare that:

My name and post office address are as stated below;

That I am knowledgeable in the English language and in the language in which the below identified application was filed, and that I believe the English translation of International Application No. PCT/JP99/06901 is a true and complete translation of the above identified International Application as filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated this 5th day of June, 2001

Full name of the translator: Tadahiko KURITA

Signature of the translator:



Post Office Address: c/o YUASA AND HARA, Section 206,  
New Ohtemachi Bldg., 2-1,  
Ohtemachi 2-chome, Chiyoda-ku,  
Tokyo, JAPAN

# PATENT COOPERATION TREATY

## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference YCT-450	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/JP99/06901	International filing date (day/month/year) 09 December 1999 (09.12.99)	Priority date (day/month/year) 10 December 1998 (10.12.98)
International Patent Classification (IPC) or national classification and IPC A61K 31/7016, A61P 1/16, 3/00 // C07H 3/04		
Applicant SUNTORY LIMITED		

<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of _____ sheets.</p>	
<p>3. This report contains indications relating to the following items:</p> <p>I <input checked="" type="checkbox"/> Basis of the report</p> <p>II <input type="checkbox"/> Priority</p> <p>III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</p> <p>IV <input type="checkbox"/> Lack of unity of invention</p> <p>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p>VI <input type="checkbox"/> Certain documents cited</p> <p>VII <input type="checkbox"/> Certain defects in the international application</p> <p>VIII <input type="checkbox"/> Certain observations on the international application</p>	

Date of submission of the demand 16 June 2000 (16.06.00)	Date of completion of this report 03 July 2000 (03.07.2000)
Name and mailing address of the IPEA/JP	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP99/06901

## I. Basis of the report

1. With regard to the **elements** of the international application: \*

- ☒ the international application as originally filed
- ☐ the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the claims:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, as amended (together with any statement under Article 19  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the drawings:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the sequence listing part of the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/fig \_\_\_\_\_

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)) \*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17)

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No  
PCT/JP 99/06901

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Claims	1-18	YES
	Claims		NO
Inventive step (IS)	Claims	1-18	YES
	Claims		NO
Industrial applicability (IA)	Claims	1-18	YES
	Claims		NO

### 2. Citations and explanations

The invention described in Claims 1-18 is not disclosed in the documents cited in the international search report or in other documents deemed relevant to said invention, and would not be obvious to a person skilled in the art.

P C T

## 国際予備審査報告

(法第12条、法施行規則第56条)  
〔PCT36条及びPCT規則70〕

21 JUL 2000

出願人又は代理人 の書類記号 YCT-450	今後の手続きについては、国際予備審査報告の送付通知（様式PCT/ IPEA/416）を参照すること。	
国際出願番号 PCT/J P 99/06901	国際出願日 (日.月.年) 09.12.99	優先日 (日.月.年) 10.12.98
国際特許分類 (IPC) Int. Cl <sup>7</sup> A61K31'7016, A61P1/16, A61P3/00 // C07H3/04		
出願人 (氏名又は名称) サントリー株式会社		

1. 国際予備審査機関が作成したこの国際予備審査報告を法施行規則第57条 (PCT36条) の規定に従い送付する。
2. この国際予備審査報告は、この表紙を含めて全部で 3 ページからなる。
- ☐ この国際予備審査報告には、附属書類、つまり補正されて、この報告の基礎とされた及び／又はこの国際予備審査機関に対してした訂正を含む明細書、請求の範囲及び／又は図面も添付されている。  
(PCT規則70.16及びPCT実施細則第607号参照)  
この附属書類は、全部で                      ページである。
3. この国際予備審査報告は、次の内容を含む。
- I ☒ 国際予備審査報告の基礎
- II ☐ 優先権
- III ☐ 新規性、進歩性又は産業上の利用可能性についての国際予備審査報告の不作成
- IV ☐ 発明の単一性の欠如
- V ☒ PCT35条(2)に規定する新規性、進歩性又は産業上の利用可能性についての見解、それを裏付けるための文献及び説明
- VI ☐ ある種の引用文献
- VII ☐ 国際出願の不備
- VIII ☐ 国際出願に対する意見

国際予備審査の請求書を受理した日 16.06.00	国際予備審査報告を作成した日 03.07.00		
名称及びあて先 日本国特許庁 (IPEA, JP) 郵便番号100-8915 東京都千代田区霞が関三丁目4番3号	特許庁審査官 (権限のある職員)	4 P	9282
	中木 亜希		
電話番号 03-3581-1101 内線 3492			

様式PCT/IPEA/409 (表紙) (1998年7月)

## 1. 国際予備審査報告の基礎

1. この国際予備審査報告は下記の出願書類に基づいて作成された。(法第6条(PCT 14条)の規定に基づき命令に  
 応答するために提出された差し替え用紙は、この報告書において「出願時」とし、本報告書には添付しない。  
 PCT規則70.16, 70.17)

☒ 出願時の国際出願書類

- ☐ 明細書 第 \_\_\_\_\_ ページ、 出願時に提出されたもの  
☐ 明細書 第 \_\_\_\_\_ ページ、 国際予備審査の請求書と共に提出されたもの  
☐ 明細書 第 \_\_\_\_\_ ページ、 \_\_\_\_\_ 付の書簡と共に提出されたもの
- ☐ 請求の範囲 第 \_\_\_\_\_ 項、 出願時に提出されたもの  
☐ 請求の範囲 第 \_\_\_\_\_ 項、 PCT 19条の規定に基づき補正されたもの  
☐ 請求の範囲 第 \_\_\_\_\_ 項、 国際予備審査の請求書と共に提出されたもの  
☐ 請求の範囲 第 \_\_\_\_\_ 項、 \_\_\_\_\_ 付の書簡と共に提出されたもの
- ☐ 図面 第 \_\_\_\_\_ ページ/図、 出願時に提出されたもの  
☐ 図面 第 \_\_\_\_\_ ページ/図、 国際予備審査の請求書と共に提出されたもの  
☐ 図面 第 \_\_\_\_\_ ページ/図、 \_\_\_\_\_ 付の書簡と共に提出されたもの
- ☐ 明細書の配列表の部分 第 \_\_\_\_\_ ページ、 出願時に提出されたもの  
☐ 明細書の配列表の部分 第 \_\_\_\_\_ ページ、 国際予備審査の請求書と共に提出されたもの  
☐ 明細書の配列表の部分 第 \_\_\_\_\_ ページ、 \_\_\_\_\_ 付の書簡と共に提出されたもの

2. 上記の出願書類の言語は、下記に示す場合を除くほか、この国際出願の言語である。

上記の書類は、下記の言語である \_\_\_\_\_ 語である。

- ☐ 国際調査のために提出されたPCT規則23.1(b)にいう翻訳文の言語  
☐ PCT規則48.3(b)にいう国際公開の言語  
☐ 国際予備審査のために提出されたPCT規則55.2または55.3にいう翻訳文の言語

3. この国際出願は、ヌクレオチド又はアミノ酸配列を含んでおり、次の配列表に基づき国際予備審査報告を行った。

- ☐ この国際出願に含まれる書面による配列表  
☐ この国際出願と共に提出されたフレキシブルディスクによる配列表  
☐ 出願後に、この国際予備審査(または調査)機関に提出された書面による配列表  
☐ 出願後に、この国際予備審査(または調査)機関に提出されたフレキシブルディスクによる配列表  
☐ 出願後に提出した書面による配列表が出願時における国際出願の開示の範囲を超える事項を含まない旨の陳述書の提出があった  
☐ 書面による配列表に記載した配列とフレキシブルディスクによる配列表に記録した配列が同一である旨の陳述書の提出があった。

4. 補正により、下記の書類が削除された。

- ☐ 明細書 第 \_\_\_\_\_ ページ  
☐ 請求の範囲 第 \_\_\_\_\_ 項  
☐ 図面 図面の第 \_\_\_\_\_ ページ/図

5. ☐ この国際予備審査報告は、補充欄に示したように、補正が出願時における開示の範囲を越えてされたものと認められるので、その補正がされなかったものとして作成した。(PCT規則70.2(c) この補正を含む差し替え用紙は上記1.における判断の際に考慮しなければならないが、本報告に添付する。)

V. 新規性、進歩性又は産業上の利用可能性についての法第12条(PCT35条(2))に定める見解、それを裏付ける文献及び説明

1. 見解

新規性(N)

請求の範囲

1-18

有

請求の範囲

無

進歩性(IS)

請求の範囲

1-18

有

請求の範囲

無

産業上の利用可能性(IA)

請求の範囲

1-18

有

請求の範囲

無

2. 文献及び説明(PCT規則70.7)

請求の範囲1-18に記載された発明は、国際調査報告に表示された文献及び当該発明に関連があると認められる文献に記載されておらず、かつ、当業者にとって自明なものでもない。

P C T

E P . U S

国際調査報告

(法8条、法施行規則第40、41条)  
[PCT18条、PCT規則43、44]

出願人又は代理人 の書類記号 YCT-450	今後の手続きについては、国際調査報告の送付通知様式(PCT/ISA/220)及び下記5を参照すること。	
国際出願番号 PCT/JP99/06901	国際出願日 (日.月.年) 09.12.99	優先日 (日.月.年) 10.12.98
出願人(氏名又は名称) サントリー株式会社		

国際調査機関が作成したこの国際調査報告を法施行規則第41条(PCT18条)の規定に従い出願人に送付する。  
この写しは国際事務局にも送付される。

この国際調査報告は、全部で 3 ページである。

☐ この調査報告に引用された先行技術文献の写しも添付されている。

#### 1. 国際調査報告の基礎

a. 言語は、下記に示す場合を除くほか、この国際出願がされたものに基づき国際調査を行った。

☐ この国際調査機関に提出された国際出願の翻訳文に基づき国際調査を行った。

b. この国際出願は、ヌクレオチド又はアミノ酸配列を含んでおり、次の配列表に基づき国際調査を行った。

☐ この国際出願に含まれる書面による配列表

☐ この国際出願と共に提出されたフレキシブルディスクによる配列表

☐ 出願後に、この国際調査機関に提出された書面による配列表

☐ 出願後に、この国際調査機関に提出されたフレキシブルディスクによる配列表

☐ 出願後に提出した書面による配列表が出願時における国際出願の開示の範囲を超える事項を含まない旨の陳述書の提出があった。

☐ 書面による配列表に記載した配列とフレキシブルディスクによる配列表に記録した配列が同一である旨の陳述書の提出があった。

2. ☐ 請求の範囲の一部の調査ができない(第I欄参照)。

3. ☐ 発明の単一性が欠如している(第II欄参照)。

4. 発明の名称は ☒ 出願人が提出したものを承認する。

☐ 次に示すように国際調査機関が作成した。

5. 要約は ☒ 出願人が提出したものを承認する。

☐ 第III欄に示されているように、法施行規則第47条(PCT規則38.2(b))の規定により国際調査機関が作成した。出願人は、この国際調査報告の発送の日から1カ月以内にこの国際調査機関に意見を提出することができる。

6. 要約書とともに公表される図は、

第 \_\_\_\_\_ 図とする。 ☐ 出願人が示したとおりである。

☒ なし

☐ 出願人は図を示さなかった。

☐ 本図は発明の特徴を一層よく表している。

C (続き). 関連すると認められる文献		
引用文献の カテゴリー*	引用文献名 及び一部の箇所が関連するときは、その関連する箇所の表示	関連する 請求の範囲の番号
A	DELZENNE N., et al., "EFFECT OF FERMENTABLE FRUCTO-OLIGOSACCHARIDES ON MINERAL, NITROGEN AND ENERGY DIGESTIVE BALANCE IN THE RAT", Life Sciences, Vol. 57, No. 17(1995)p. 1579-1587	1 - 18

## PATENT COOPERATION TREATY

**PCT**  
**NOTIFICATION OF TRANSMITTAL**  
**OF COPIES OF TRANSLATION**  
**OF THE INTERNATIONAL PRELIMINARY**  
**EXAMINATION REPORT**

(PCT Rule 72.2)

From the INTERNATIONAL BUREAU

To:

SHAMOTO, Ichio  
 Yuasa And Hara  
 Section 206, New Ohtemachi Bldg.  
 2-1, Ohtemachi 2-chome  
 Chiyoda-ku, Tokyo 100-0004  
 JAPON

<b>Date of mailing (day/month/year)</b> 08 January 2001 (08.01.01)	<b>IMPORTANT NOTIFICATION</b>
<b>Applicant's or agent's file reference</b> YCT-450	
<b>International application No.</b> PCT/JP99/06901	<b>International filing date (day/month/year)</b> 09 December 1999 (09.12.99)
<b>Applicant</b> SUNTORY LIMITED et al	

**1. Transmittal of the translation to the applicant.**

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

**2. Transmittal of the copy of the translation to the elected Offices.**

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

EP,AU,CA,US

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

None

**3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).**

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

**It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.**

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. (41-22) 740.14.35	Authorized officer  Elliott Peretti  Telephone No. (41-22) 338.83.38
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## PATENT COOPERATION TREATY

PCT

NOTICE INFORMING THE APPLICANT OF THE  
COMMUNICATION OF THE INTERNATIONAL  
APPLICATION TO THE DESIGNATED OFFICES

(PCT Rule 47.1(c), first sentence)

From the INTERNATIONAL BUREAU

To:

SHAMOTO, Ichio  
Yuasa And Hara  
Section 206, New Ohtemachi Bldg.  
2-1, Ohtemachi 2-chome  
Chiyoda-ku, Tokyo 100-0004  
JAPON

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Date of mailing (day/month/year) 15 June 2000 (15.06.00)		
Applicant's or agent's file reference YCT-450		IMPORTANT NOTICE
International application No. PCT/JP99/06901	International filing date (day/month/year) 09 December 1999 (09.12.99)	Priority date (day/month/year) 10 December 1998 (10.12.98)
Applicant SUNTORY LIMITED et al		

1. Notice is hereby given that the International Bureau has communicated, as provided in Article 20, the international application to the following designated Offices on the date indicated above as the date of mailing of this Notice:

AU,US

In accordance with Rule 47.1(c), third sentence, those Offices will accept the present Notice as conclusive evidence that the communication of the international application has duly taken place on the date of mailing indicated above and no copy of the international application is required to be furnished by the applicant to the designated Office(s).

2. The following designated Offices have waived the requirement for such a communication at this time:

CA,EP

The communication will be made to those Offices only upon their request. Furthermore, those Offices do not require the applicant to furnish a copy of the international application (Rule 49.1(a-bis)).

3. Enclosed with this Notice is a copy of the international application as published by the International Bureau on 15 June 2000 (15.06.00) under No. WO 00/33850

**REMINDER REGARDING CHAPTER II (Article 31(2)(a) and Rule 54.2)**

If the applicant wishes to postpone entry into the national phase until 30 months (or later in some Offices) from the priority date, a **demand for international preliminary examination** must be filed with the competent International Preliminary Examining Authority before the expiration of 19 months from the priority date.

It is the applicant's sole responsibility to monitor the 19-month time limit.

Note that only an applicant who is a national or resident of a PCT Contracting State which is bound by Chapter II has the right to file a demand for international preliminary examination.

**REMINDER REGARDING ENTRY INTO THE NATIONAL PHASE (Article 22 or 39(1))**

If the applicant wishes to proceed with the international application in the **national phase**, he must, within 20 months or 30 months, or later in some Offices, perform the acts referred to therein before each designated or elected Office.

For further important information on the time limits and acts to be performed for entering the national phase, see the Annex to Form PCT/IB/301 (Notification of Receipt of Record Copy) and Volume II of the PCT Applicant's Guide.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  J. Zahra
Facsimile No. (41-22) 740.14.35	Telephone No. (41-22) 338.83.38



## PATENT COOPERATION TREATY

PCT

INFORMATION CONCERNING ELECTED  
OFFICES NOTIFIED OF THEIR ELECTION

(PCT Rule 61.3)

From the INTERNATIONAL BUREAU

To:

SHAMOTO, Ichio  
Yuasa And Hara  
Section 206, New Ohtemachi Bldg.  
2-1, Ohtemachi 2-chome  
Chiyoda-ku, Tokyo 100-0004  
JAPON

Date of mailing (day/month/year) 11 July 2000 (11.07.00)		
Applicant's or agent's file reference YCT-450		IMPORTANT INFORMATION
International application No. PCT/JP99/06901	International filing date (day/month/year) 09 December 1999 (09.12.99)	
		Priority date (day/month/year) 10 December 1998 (10.12.98)
Applicant SUNTORY LIMITED et al		

1. The applicant is hereby informed that the International Bureau has, according to Article 31(7), notified each of the following Offices of its election:

EP : AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE  
National : AU, CA, US

2. The following Offices have waived the requirement for the notification of their election; the notification will be sent to them by the International Bureau only upon their request:

None

3. The applicant is reminded that he must enter the "national phase" **before the expiration of 30 months from the priority date** before each of the Offices listed above. This must be done by paying the national fee(s) and furnishing, if prescribed, a translation of the international application (Article 39(1)(a)), as well as, where applicable, by furnishing a translation of any annexes of the international preliminary examination report (Article 36(3)(b) and Rule 74.1).

Some offices have fixed time limits expiring later than the above-mentioned time limit. For detailed information about the applicable time limits and the acts to be performed upon entry into the national phase before a particular Office, see Volume II of the PCT Applicant's Guide.

The entry into the European regional phase is postponed **until 31 months from the priority date** for all States designated for the purposes of obtaining a European patent.

<p>The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland</p> <p>Facsimile No. (41-22) 740.14.35</p>	<p>Authorized officer:</p> <p>Diana Nissen</p> <p>Telephone No. (41-22) 338.83.38</p>
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## PATENT COOPERATION TREATY

PCT

NOTIFICATION CONCERNING  
SUBMISSION OR TRANSMITTAL  
OF PRIORITY DOCUMENT

(PCT Administrative Instructions, Section 411)

From the INTERNATIONAL BUREAU

To:

SHAMOTO, Ichio  
Yuasa And Hara  
Section 206, New Ohtemachi Bldg.  
2-1, Ohtemachi 2-chome  
Chiyoda-ku, Tokyo 100-0004  
JAPON

Date of mailing (day/month/year) 16 February 2000 (16.02.00)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference YCT-450	
International application No. PCT/JP99/06901	
International publication date (day/month/year) Not yet published	
Applicant SUNTORY LIMITED et al	International filing date (day/month/year) 09 December 1999 (09.12.99)  Priority date (day/month/year) 10 December 1998 (10.12.98)

- The applicant is hereby notified of the date of receipt (except where the letters "NR" appear in the right-hand column) by the International Bureau of the priority document(s) relating to the earlier application(s) indicated below. Unless otherwise indicated by an asterisk appearing next to a date of receipt, or by the letters "NR", in the right-hand column, the priority document concerned was submitted or transmitted to the International Bureau in compliance with Rule 17.1(a) or (b).
- This updates and replaces any previously issued notification concerning submission or transmittal of priority documents.
- An **asterisk(\*)** appearing next to a date of receipt, in the right-hand column, denotes a priority document submitted or transmitted to the International Bureau but not in compliance with Rule 17.1(a) or (b). In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.
- The **letters "NR"** appearing in the right-hand column denote a priority document which was not received by the International Bureau or which the applicant did not request the receiving Office to prepare and transmit to the International Bureau, as provided by Rule 17.1(a) or (b), respectively. In such a case, **the attention of the applicant is directed** to Rule 17.1(c) which provides that no designated Office may disregard the priority claim concerned before giving the applicant an opportunity, upon entry into the national phase, to furnish the priority document within a time limit which is reasonable under the circumstances.

<u>Priority date</u>	<u>Priority application No.</u>	<u>Country or regional Office or PCT receiving Office</u>	<u>Date of receipt of priority document</u>
10 Dece 1998 (10.12.98)	10/351955	JP	04 Febr 2000 (04.02.00)

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Facsimile No. (41-22) 740.14.35

Authorized officer

Taieb Akremi ✱

Telephone No. (41-22) 338.83.38